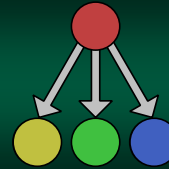




## Trees

Part 8

1



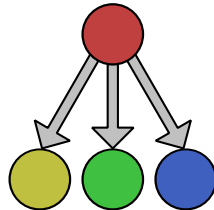
## Introduction to Trees

Let the data grow

2

## Introduction to Trees

- In computer science, a tree is an abstract model of a hierarchical structure
- A tree consists of nodes with a parent-child relationship to zero *or more* nodes



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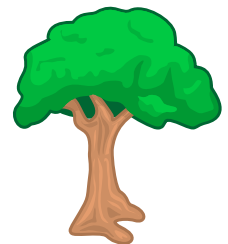
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3

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## Some Applications

- Organizational charts
- Class hierarchy
- Disk directory and subdirectories
- Structure of a program



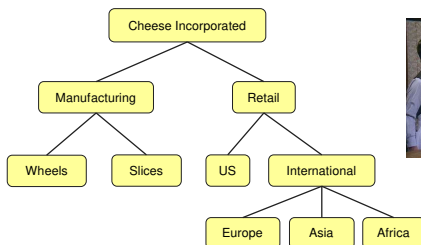
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## Tree Example



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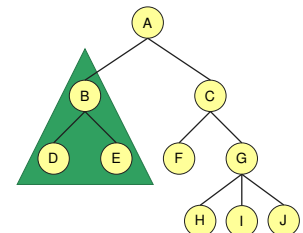
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## Trees are Recursive

- Trees are recursive data structures
- They can be defined as smaller instances of trees
- So, using recursion is a natural approach



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## Linked Lists vs. Trees

- **Linked Lists**
  - linear - accessing all elements is  $O(n)$
  - nodes can only have one predecessor and/or one successor node
- **Trees**
  - nonlinear and hierarchical
  - nodes can have *multiple* successors but only one predecessor

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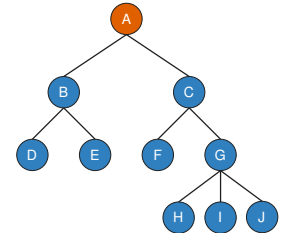
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## Tree Terminology

- **Node**
  - just like in linked lists, the units of linked data are called nodes
  - usually contain data
- **Root**
  - starting point of the tree
  - no nodes link to it
  - e.g. A



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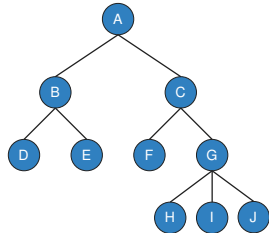
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## Tree Terminology

- **Ancestor node**
  - predecessors
  - human-like lineage names: parent, grandparent, etc.
- **Descendant node**
  - successors
  - e.g. child, grandchild, great-grandchild, etc.



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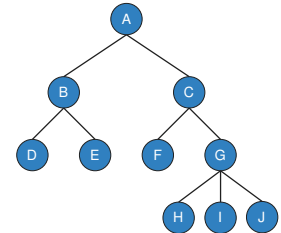
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## Tree Terminology

- **Depth** of a node
  - # of ancestors to the root
  - e.g. depth of F is 2
- **Height** of a tree
  - maximum depth of any node
  - e.g. this tree is 3



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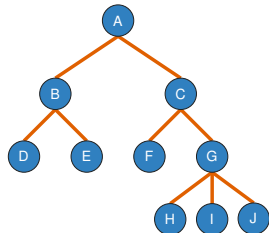
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## Tree Terminology

- **Branch**
  - links between nodes
  - often unidirectional
- **Branching-factor**
  - max number of branches any node can have
  - can be 2 to more



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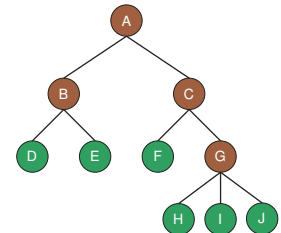
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## Tree Terminology

- **Internal node**
  - node with at least one child
  - e.g. A, B, C, G
- **Leaf**
  - aka *external node*
  - node without children
  - e.g. D, E, F, H, I, J



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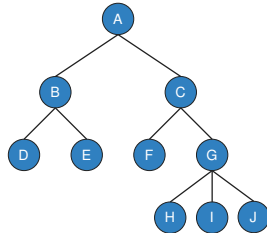
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## Tree Terminology

### Size of the tree

- total number of nodes
- this tree has a size of 10



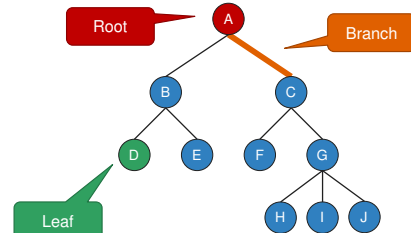
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## Tree Terminology



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## General Tree Node ADT

```
class Node
  public Object value;    //Anything
  public Node[] branches;
end class
```

Array, or better, a linked list

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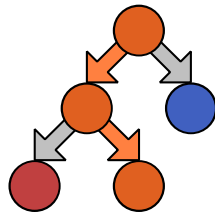


Climbing Down

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## Tree Traversal

- A *tree traversal* visits the nodes of a tree in a systematic manner
- Given that trees can be defined into smaller and smaller subtrees, *recursion is an eloquent solution*



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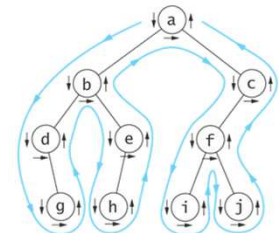
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## Depth First Traversal

- If we continuously follow the tree to the left – this will result in *Euler Tour*
- We traverse the tree and pass through each node



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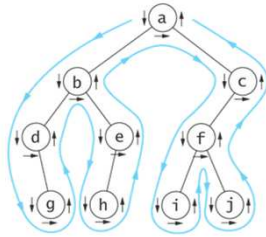
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## Depth First Traversal

- Notice, in this case, that we tend to go down the bottom first
- This is also known *depth-first traversal*



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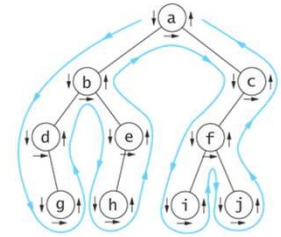
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## Depth First Traversal

- A node is *visited* when its contents are analyzed
- Notice that we pass by each node going down and going up
- On either of these passes, we can visit the node



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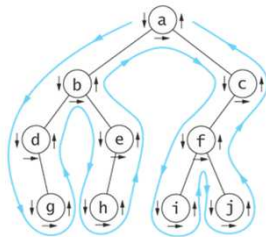
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## Depth First Traversal

- This approach lends itself to recursion
- How?
  - root recurses into its children
  - each child recurses into each of its children



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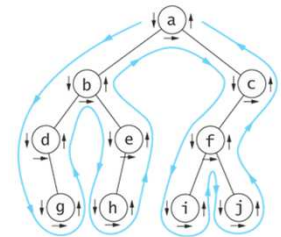
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## Depth First Traversal

- This can be before or after its children are visited
- When the node is visited, when recursing the tree, has a *huge* impact on the algorithm



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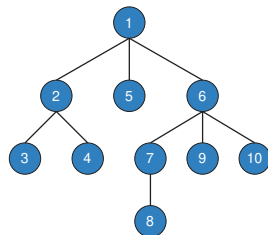
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## Depth-first: Preorder

- In a *preorder traversal*, a node is visited *before* its descendants
- In the image to the right, nodes will be visited in the order they are numbered



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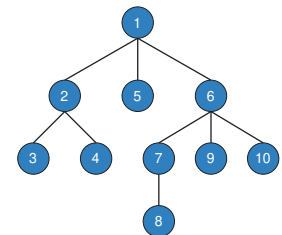
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## Depth-first: Preorder

- Notice that each child was visited *after* its parent
- Some uses...
  - print a tree document
  - e.g. XML export



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## Preorder Traversal Logic

```
function preOrder
  this.visit()

  for each child c in this node
    c.preOrder()
  end for
end function
```

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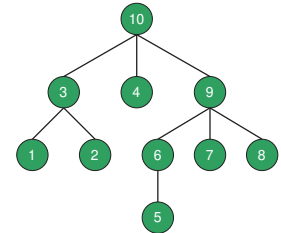
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## Depth First: Postorder

- In a *postorder traversal*, a node is visited after its descendants
- Notice that each child was visited before its parent



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## Depth First: Postorder

```
function postOrder
  for each child c in this node
    c.postOrder()
  end for

  this.visit()
end function
```

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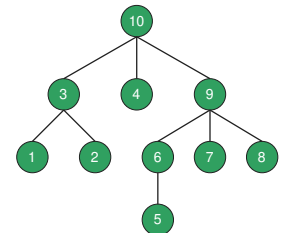
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## Some Uses for Postorder

- Compute space used child nodes
- Calculate folder space
- Expression evaluation (an alternative to the stack algorithm)



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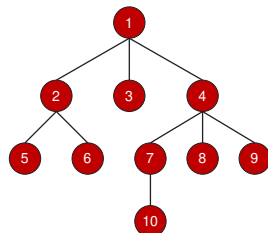
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## Breadth-first Traversal

- In a *breadth-first traversal*, nodes are visited by their level in the tree
- So, the traversal, looks at all the nodes at depth 1, then at 2, etc...



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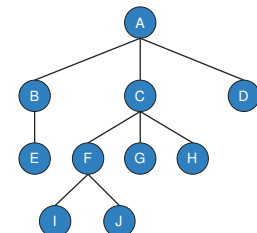
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## Test Your Might

What is the order the nodes are visited using depth-first *pre-order* traversal?

ABECFIJGHD



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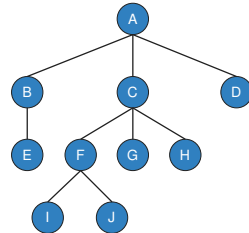
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## Test Your Might

What is the order the nodes are visited using depth-first *post-order* traversal?

EBIJFGHCDA

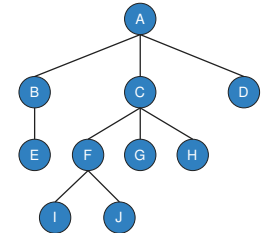


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## Test Your Might

What is the order the nodes are visited using depth-first *breadth-first* traversal?

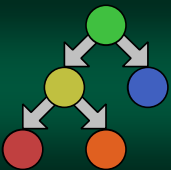
ABCDEFGHIJ



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## Binary Trees

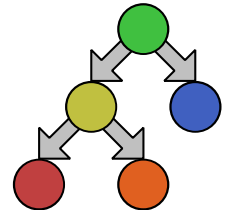
The Power of Two!



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## Binary Trees

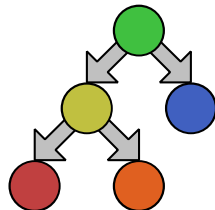
- The most common tree used in data structures is in the style of the binary tree
- As the name implies, nodes in a binary tree only have two successors



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## Binary Trees

- We call the children of an internal node *left child* and *right child*



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## Binary Trees

- Binary Trees are extremely useful in data structures
- The two branches allow for efficient branching and is ideal for binary operations
- Applications:
  - storing arithmetic expressions
  - decision processes
  - searching
  - sorting

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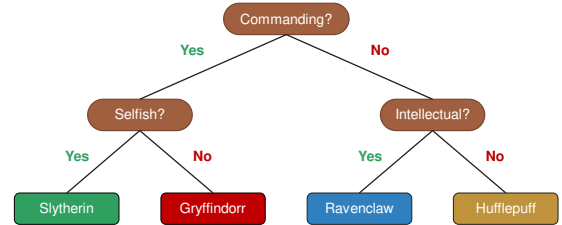
## Binary Tree Node

```
class Node
  public Object value; //Can be anything
  public Node left;
  public Node right;
end class
```

Branches are much simpler

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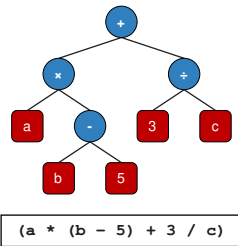
## Boolean Decision Tree



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## Arithmetic Expression Tree

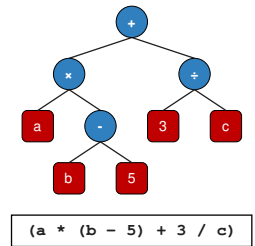
- Expressions can be represented with a tree
- How?
  - internal nodes: operators
  - leaves: operand



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## Arithmetic Expression Tree

- It can be evaluated using a depth-first traversal
- ... notice that the node's children need a result before the node can be evaluated



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## Attributes of a Binary Tree

- $v = i + 1$
- $n = 2v - 1$
- $h \leq i$
- $h \leq (n - 1) / 2$
- $v \leq 2h$
- $h \geq \log_2 v$
- $h \geq \log_2 (n + 1) - 1$

$n$	number of nodes
$i$	number of internal nodes
$v$	number of leaves
$h$	height of the tree

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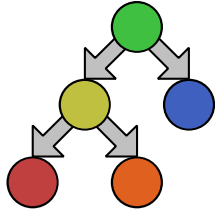
Depth-First  
Traversing  
Binary Trees

With simplicity, we have power!

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## Depth-First Traversing

- Because of the simplicity of binary trees, we have a very useful structure for tree traversal
- We can only traverse left and right
- This gives **three** possibilities for a depth first search



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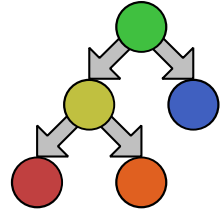
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## Pre-order Depth-first Traversal

- When a **pre-order** depth-first traversal is performed, the node is visited **before** the right or left child
- Useful for copying a tree and printing trees



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## Binary Pre-order Traversal Logic

```
function preOrder
  this.visit()

  if left isn't null then left.preOrder()
  if right isn't null then right.preOrder()
end function
```

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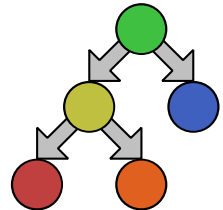
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## In-order Depth-first Traversal

- In an **in-order** traversal a node is visited **after** its left branch and **before** its right branch
- In other words: recurse left, visit, then recurse right



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## Binary In-order Traversal Logic

```
function inOrder
  if left isn't null then left.inOrder()

  this.visit()

  if right isn't null then right.inOrder()
end function
```

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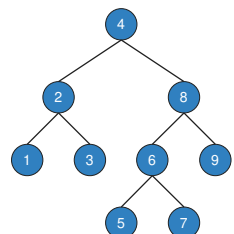
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## Some In-order Applications

- Draw a binary tree
- Heap sorting
- Binary searching –  $O(\log n)$  when sorted



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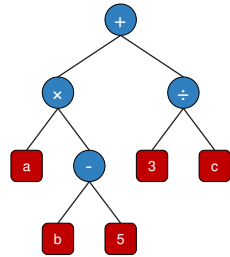
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## In-order: Print Expressions

- In-order can be used to easily print an expression stored in a tree
- Print....
  - ( then traverse left
  - the node's operator
  - traverse right then )



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Scenario: State - Cook - CS5 130

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## In-order: Print Expressions

```
function print()
    if this is a leaf
        write this.value
    else
        write "("
        left.print()
        write this.operator  ...can be stored in this.value
        right.print()
        write ")"
    end if
end function
```

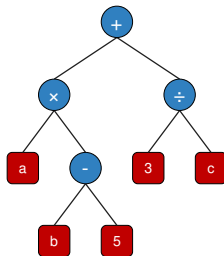
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## In-order: Print Expressions



((a \* (b - 5)) + (3 / c))

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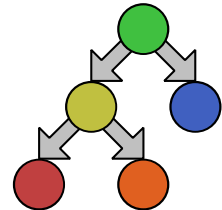
Scenario: State - Cook - CS5 130

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## Post-order Depth-first Traversal

- In a *post-order traversal* a node is evaluated *after* its left branch and *after* its right branch
- In other words: recurse left, recurse right, then visit



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Scenario: State - Cook - CS5 130

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## Binary Post-order Traversal Logic

```
function postOrder
    if left isn't null then left.postOrder()
    if right isn't null then right.postOrder()

    this.visit()
end function
```

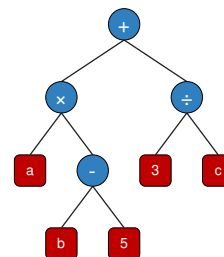
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Scenario: State - Cook - CS5 130

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## Post-order: Evaluate Expressions



- A post-order traversal can be used to evaluate the tree
- Each recursive call (*left*, *right*) returns a value – the result of its calculation

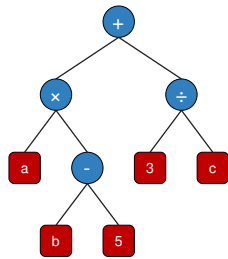
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## Post-order: Evaluate Expressions



- The node then applies the operator to the two returned values (**left**, **right**)
- ... and then returns that value to its caller

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## Post-order: Evaluate Expressions

```
function evaluate()
  if this is a leaf
    return this.value
  else
    x ← left.evaluate()
    y ← right.evaluate()
    ◇ ← this.operator ...can be stored in this.value
    return x ◇ y
  end if
end function
```

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